SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

1. Name and Addres		on*	2. Issuer Name and Ticker or Trading Symbol <u>LIVEPERSON INC</u> [LPSN]	(Check	ationship of Reporting Pe < all applicable) Director Officer (give title	son(s) to Issuer 10% Owner Other (specify	
(Last) C/O LIVEPERS 475 TENTH AV	(First) ON, INC., ENUE, 5TH FLC	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/06/2018	X	below) EVP, General	below)	
(Street) NEW YORK (City)	NY (State)	10018 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filir Form filed by One Rep Form filed by More the Person	porting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities / Disposed Of (5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	11/06/2018		S		8,245 ⁽¹⁾	D	\$22.07(2)	31,604	D	
Common Stock	11/06/2018		М		8,017(1)	A	\$1.79	39,621	D	
Common Stock	11/06/2018		S		8,017(1)	D	\$22.07(2)	31,604	D	
Common Stock	11/07/2018		М		4,733(1)	A	\$1.79	36,337	D	
Common Stock	11/07/2018		S		4,733(1)	D	\$22	31,604	D	
Common Stock	11/07/2018		М		4,250 ⁽¹⁾	A	\$1.79	35,854	D	
Common Stock	11/07/2018		S		4,250 ⁽¹⁾	D	\$22	31,604	D	
Common Stock	11/07/2018		S		11,478(1)	D	\$22	20,126	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Exp		Expiration Da	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$1.79	11/06/2018		М			17,000	(3)	03/06/2019	Common Stock	17,000	\$0	0	D	

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 22, 2018.

2. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$22.00 to \$22.26, inclusive. The reporting person undertakes to provide to LivePerson, Inc., any security holder of LivePerson, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

3. The option is fully vested and currently exercisable.

Remarks:

/s/ Monica L. Greenberg 11/08/2018

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.